#### Advanced Algorithmic Techniques (COMP523)

**Approximation Algorithms 3** 

# Recap and plan

#### • Previous lecture:

- The Pricing Method (Primal-Dual Method).
  - Application: Vertex Cover.
- This lecture:
  - Linear Programming and Rounding.
    - Application: Vertex Cover.
  - Inapproximability of Vertex Cover.
  - Vertex Cover on Bipartite Graphs.

# Methods for approximation algorithms

- Greedy algorithms.
- Pricing method (also known as the Primal-Dual method).
- Linear Programming and Rounding.
- Dynamic Programming on rounded inputs.

- Definition: A vertex cover C of a graph G=(V, E) is a subset of the nodes such that every edge e in the graph has at least one endpoint in C.
- Definition: A minimum vertex cover is a vertex cover of the smallest possible size.
- Vertex Cover
  Input: A graph G=(V, E)
  Output: A minimum vertex cover.











A vertex cover









A minimum vertex cover

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- Vertex Cover
  Input: A graph G=(V, E)
  Output: A minimum (weight) vertex cover.

- Last time, we designed a polynomial time approximation algorithm for the weighted vertex cover problem.
- We will design another polynomial time approximation algorithm for the weighted vertex cover problem.
  - The second algorithm will be based on a technique called "ILP relaxation and rounding".

#### Vertex Cover as an ILP

Minimise  $\sum x_i w_i$ 



subject to $x_i + x_j \ge 1$ , for all  $(i, j) \in E$  $x_i \ge 0$ , for all  $i \in V$  $x_i \in \{0, 1\}$ , for all  $i \in V$ 

#### Vertex Cover as an ILP



A vertex is either included in the vertex cover (value 1) or not (value 0).

#### **Vertex Cover LP-relaxation**

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- The optimal solution is a "fractional" vertex cover, where variables can take values between 0 and 1.
- Is the value of this "fractional" vertex cover, smaller or larger than the value of the minimum weight vertex cover?



#### **Recall: Load Balancing**



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We do not know the optimal, so we will use a lower bound for the optimal.

#### Back to vertex cover

 What can we use as a lower bound for the weight of the minimum weight vertex cover?

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  - The weight of the minimum weight "fractional" vertex cover.
  - i.e., the optimal value of the LP-relaxation of the vertex cover ILP.

# **Recall: Load Balancing**



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#### Lower bounding the optimal



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  - If we set everything to 0, it is not a vertex cover.
  - If we set everything to 1, we "pay" too much.
  - We set variable  $x_i$  to 1 if  $x_i \ge 1/2$  and to 0 otherwise.

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  - This means that in the LP-relaxation, we had that  $x_i < 1/2$  and  $x_j < 1/2$ .
  - But then the constraint x<sub>i</sub> + x<sub>i</sub> ≥ 1 would be violated, and this would not be a feasible solution to the LP-relaxation.

#### **Vertex Cover LP-relaxation**

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#### **subject to** $x_i + x_j \ge 1$ , for all $(i, j) \in E$ $x_i \ge 0$ , for all $i \in V$

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- One line proof:

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$$\sum_{\substack{i \\ \text{weight of min-weight} \\ \text{fractional VC}}} w_i x_i^* \geq \sum_{\substack{i \in S \\ i \in S}} w_i x_i^* \geq \frac{1}{2} \sum_{\substack{i \in S \\ i \in S}} w_i = w(S)$$

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$$\sum_{i} w_{i} x_{i}^{*} \geq \sum_{i \in S} w_{i} x_{i}^{*} \geq \frac{1}{2} \sum_{i \in S} w_{i} = w(S) \quad \begin{array}{c} \text{weight of the VC that} \\ \text{we computed} \\ \text{weight of min-weight} \\ \text{fractional VC} \end{array}$$

- The LP-relaxation and round algorithm for vertex cover has an approximation ratio of 2.
- We already knew that 2 was possible, from the Pricing method algorithm (Primal-Dual).
- In this case, the ILP-relaxation and round algorithm seems conceptually simpler.
- In other cases, rounding the solution will not be so straightforward.

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- Maybe we can use the same technique but round more cleverly?



Can you think of an inherent limitation of this technique? What is the best possible approximation ratio that we could hope for?







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  - An integrality gap of α does not mean that it is not possible to design an algorithm with approximation ratio better than α.
  - Actually, it does not even mean that LP-relaxation and round technique cannot give you an algorithm with approximation ratio better than a.
  - It means that with this formulation of the ILP-LP, α is the best you can hope for.

# Integrality gap of VC

- Do we know any lower bound on the integrality gap of vertex cover?
  - i.e., "the IG is at least this much".
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 $IG \ge 2/(3/2) = 4/3$ 

• i.e., "the IG is at least this much".

We cannot hope to design an algorithm using this formulation with ration better than 4/3.

Min weight integral vertex cover. weight = 2 Min weight fractional vertex cover. weight = 3/2

- Can we get any better lower bounds?
- The integrality gap of VC approaches 2 as the number of vertices goes to infinity.
- 5-min exercise: Try to prove this statement.

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- Could we hope to design a better algorithm for the problem?
- The answer to this question depends on our definition of "hope".
- Known fact 1: It is impossible (unless P=NP) to design an algorithm with approximation ratio better than 1.363.
- Known fact 2: It is impossible (unless Unique Games is an NP-hard problem) to design an algorithm with approximation ratio better than 2.

- We saw two different algorithms, both provided an approximation ratio of 2.
- Could we hope to design a better algorithm for the problem?
- The answer to this question depends on our definition of "hope".
- Known fact 1: It is impossible (unless P=NP) to design an algorithm with approximation ratio better than 1.363.
- Known fact 2: It is impossible (unless Unique Games is an NP-hard problem) to design an algorithm with approximation ratio better than 2.
- Both facts are quite involved to prove.

### Easier inapproximability

- Definition: A problem P is strongly NP-hard, when there is a polynomial time reduction from a strongly NP-hard to problem to it.
- For a *strongly* NP-hard problem P,
  - There is no Fully Polynomial Time Approximation Scheme (FPTAS - next lecture).
  - There is **no pseudopolynomial time algorithm** that solves it exactly.

### The approximation landscape for Vertex Cover

• Vertex Cover is strongly NP-hard.



#### Vertex Cover on bipartite graphs

- Definition: A vertex cover C of a bipartite graph G=(A U B, E) is a subset of the nodes such that every edge e in the graph has at least one endpoint in C.
- Definition: A minimum vertex cover is a vertex cover of the smallest possible size.
- Vertex Cover on bipartite graphs
  Input: A bipartite graph G=(A ∪ B, E).
  Output: A minimum vertex cover.

#### Vertex Cover on bipartite graphs

 We will establish via a series of arguments that VC on bipartite graphs can be solved in polynomial time.

### Vertex Cover as an ILP

Minimise  $\sum x_i$ 



# subject to $x_i + x_j \ge 1$ , for all $(i, j) \in E$ $x_i \ge 0$ , for all $i \in V$ $x_i \in \{0, 1\}$ , for all $i \in V$

#### **Vertex Cover LP-relaxation**





#### The dual



$$y_j \le 1$$
, for all  $j \in E$ 



 $y_j \in \{0,1\}$  for all  $j \in E$ 



 $y_j \in \{0,1\}$  for all  $j \in E$ 

Include as many edges as possible ...



 $y_j \in \{0,1\}$  for all  $j \in E$ 

Include as many edges as possible ... such that for every vertex of the graph ...



 $y_j \in \{0,1\}$  for all  $j \in E$ 

Include as many edges as possible ...

such that for every vertex of the graph ...

among the edges that are incident to that vertex ...



 $y_j \in \{0,1\}$  for all  $j \in E$ 

Include as many edges as possible ... such that for every vertex of the graph ... among the edges that are incident to that vertex ... we take at most 1.



 $y_i \in \{0,1\}$  for all  $j \in E$ 

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## König's Theorem

- In a bipartite graph, the size of the maximum matching is equal to the size of the minimum vertex cover.
  - König's proof is constructive: If starts from a maximum matching and produces a vertex cover, proving that it is minimum.
  - Alternative proof based on *total unimodularity*.

#### This is Maximum Matching



 $y_j \in \{0,1\}$  for all  $j \in E$ 

Maximise $\sum_{j \in E} y_j$ subject to $\sum_{j \text{ is incident to vertex } i} y_j \leq 1, \text{ for all } i \in V$ 

$$y_j \le 1$$
, for all  $j \in E$ 



$$y_j \le 1$$
, for all  $j \in E$ 

Fact: The incidence matrix of a bipartite graph is totally unimodular.



 $y_j \le 1$ , for all  $j \in E$ 

Fact: The incidence matrix of a bipartite graph is totally unimodular.

This means that size of maximum matching = size of maximum fractional matching.

### This is Vertex Cover



subject to $x_i + x_j \ge 1$ , for all  $(i, j) \in E$  $x_i \ge 0$ , for all  $i \in V$  $x_i \in \{0, 1\}$ , for all  $i \in V$ 



#### **subject to** $x_i + x_j \ge 1$ , for all $(i, j) \in E$ $x_i \ge 0$ , for all $i \in V$



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Fact: The constraint matrix is also totally unimodular. It is just the transpose of the constraint matrix of the maximum bipartite matching problem.



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Fact: The constraint matrix is also totally unimodular. It is just the transpose of the constraint matrix of the maximum bipartite matching problem.

This means that size of minimum VC = size of minimum fractional VC
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But size of maximum fractional matching = size of minimum fractional VC (why?).

This means that size of maximum matching = size of minimum VC.

# How do we find the size of the minimum VC on a bipartite graph?

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- The size of this matching is the size of the minimum vertex cover.

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#### How do we find the minimum VC on a bipartite graph?

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- The size of this matching is the size of the minimum vertex cover.
- Find the minimum vertex cover using the size of the minimum vertex cover.
  - How?

### From previous lecture...

- Pick a vertex v in the graph.
  - Remove it (and the incident edges) to get graph G {v}.
  - Property: If v was in any minimum vertex cover, G {v} has a minimum vertex cover of size k\*-1.
  - Check if the graph G  $\{v\}$  has a vertex cover of size at most  $k^*-1$ .
    - Yes: Include v in the vertex cover.
    - No: Do not include v in the vertex cover.
    - Then move to the next vertex.

## Summing up

- Vertex Cover is strongly NP-hard in general.
  - In fact, hard to approximate better than 1.363.
  - There exist 2-approximate polynomial time algorithms for the problem.
- On bipartite graphs, the problem is solvable in polynomial time.