

# **Advanced Algorithmic Techniques (COMP523)**

Randomised Algorithms 3

# Recap and plan

- **Previous lecture:**
  - Randomised global cuts in multi-graphs.
- **This lecture:**
  - Types of randomised algorithms
  - Randomised approximation algorithms.
    - Applications: MAX-SAT, MAX-3SAT, MAX-CUT

# Types of algorithms

- There are two (main) types of randomised algorithms:
  - **Monte Carlo** algorithms: The algorithm *computes the correct solution with high probability*, and the algorithm *always terminates*.
  - **Las Vegas** algorithms: The algorithm *always computes the correct solution*, and *its running time is a random variable with bounded expectation*.

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  - **Las Vegas** algorithms: The algorithm *always computes the correct solution*, and *its running time is a random variable with bounded expectation*.
    - *i.e., it might fail to terminate with some small probability.*

# Examples

- Example of **Monte Carlo** algorithm:
  - The **global minimum cut** algorithm on multi-graphs.
- Examples of **Las Vegas** algorithms:
  - **Randomised Partition** (runs in expected time  $O(n)$ )
  - **Randomised Quicksort** (runs in expected time  $O(n \log n)$ )
    - These algorithms pick the **pivot element** *uniformly at random*.

# Recall: The Partition procedure

Procedure **Partition**( $A[i, \dots, j]$ )

Choose a **pivot element**  $x$  of  $A$

$k = i - 1$

For  $h = i$  to  $j - 1$  do

    If  $A[h] \leq x$

$k = k + 1$

        Swap  $A[k]$  with  $A[h]$

    Swap  $A[k + 1]$  with  $A[j]$

Return  $k + 1$

# Partition

2	8	7	1	3	5	4
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# Partition





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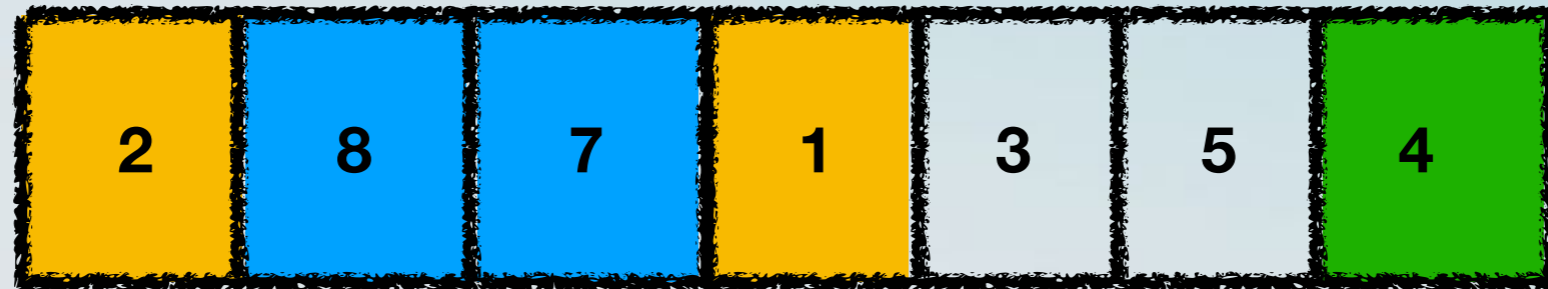
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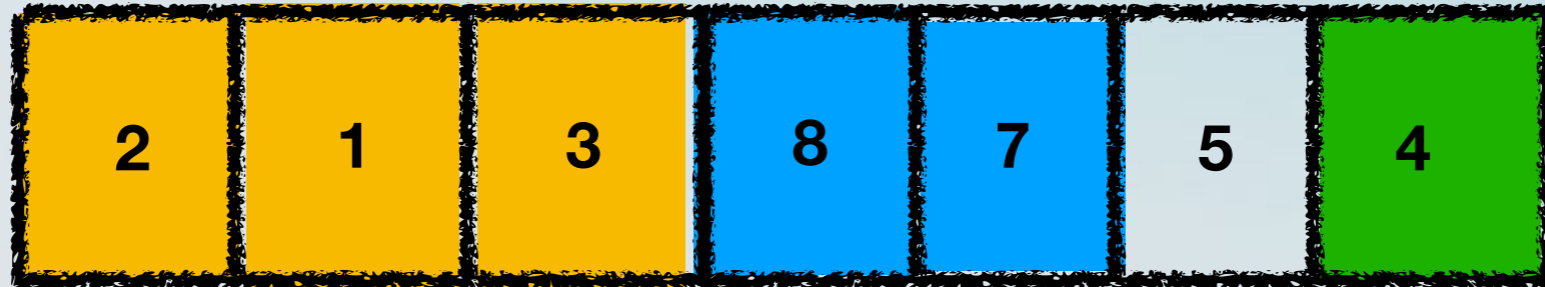
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All pivot elements are **equally likely**.

Some give **good** partitions.

Some give **bad** partitions.

The **running time** is a **random variable**.

Its **expectation** can be calculated using an appropriate recurrence relation.

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More details: CLRS

# Randomised Approximation algorithms

- We will use randomisation to design good approximation algorithms.
- These algorithms will always terminate.
- Their approximation ratio will be calculated with respect to their *expected outcome*.

# Approximation ratio

- For **maximisation problems**, we define

$$\max_x \text{opt}(x) / \text{obj}(A(x))$$

- i.e., the worst case ratio of the optimal value of the objective over the value of the objective achieved by the algorithm, over all possible inputs to the problem.
- Convention, to have approximation ratios always be  $\geq 1$ .

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# 3 SAT

- A CNF formula with  $m$  clauses and  $k$  literals.

$$\phi = (x_1 \vee x_5 \vee x_3) \wedge (x_2 \vee x_6 \vee \neg x_5) \wedge \dots \wedge (x_3 \vee x_8 \vee x_{12})$$

- (“An AND of ORs”).
- Each clause has three literals.
- **Truth assignment:** A value in  $\{0,1\}$  for each variable  $x_i$ .
- **Satisfying assignment:** A truth assignment which makes the formula evaluate to 1 (= true).
- **Computational problem 3SAT :** Decide if the input formula  $\phi$  has a satisfying assignment.



# MAX 3SAT

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# A 2-approximation algorithm for **MAX-SAT**

- **Algorithm:** For each variable  $x_i$ , set  $x_i$  to  $1$  with probability  $1/2$  and to  $0$  with probability  $1/2$ .

# Analysis

- Let  $Y_j$  be a random variable such that:

$Y_j = 1$ , if clause  $j$  is satisfied.

$Y_j = 0$ , otherwise.

- Let  $X$  be a random variable, which is equal to the number of satisfied clauses.

- By definition: 
$$X = \sum_{j=1}^m Y_j$$

# Analysis

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- We have that:

$$E[X] = E \left[ \sum_{j=1}^m Y_j \right] = \sum_{j=1}^m E[Y_j] = \sum_{j=1}^m \mathbf{Pr}[\mathbf{clause } j \text{ is satisfied}]$$

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    - $f(j)$  is the number of literals in clause  $j$ .

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- If we use the trivial upper bound of **m** on the value of the optimal, we get the **2**-approximation.

# MAX 3SAT

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Can we use the same idea to get an approximation algorithm for MAX 3SAT?

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# Global Minimum Cut

- We are given an *undirected* graph  $G=(V, E)$ .
- A *cut* of  $G$  is a partition of the nodes of the graph into two sets,  $A$  and  $B$ .
- The size of a cut  $(A, B)$  is *the number of edges* with one endpoint in  $A$  and one endpoint in  $B$ .
- A *global minimum cut* is a cut of minimum size.

# Maximum Cut

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# Minimum Cut vs Maximum Cut



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  - *Always correctly*, by flow algorithms.
  - *Almost always correctly*, by the contraction algorithm.
- **Maximum Cut** is **NP-hard**.
  - We will design an approximation algorithm for MAX-CUT.

# MAX-CUT algorithm

- For every vertex  $v$  in  $V$  independently, place  $v$  in  $A$  with probability  $1/2$ , place  $v$  in  $B$  with probability  $1/2$ .

# MAX-CUT algorithm

- For every vertex  $v$  in  $V$  independently, place  $v$  in  $A$  with probability  $1/2$ , place  $v$  in  $B$  with probability  $1/2$ .
- **7-10 minute exercise:** Prove that the approximation ratio of this algorithm for the maximum cut problem is  $2$ .

# Analysis

- Let  $X_{ij}$  be a random variable such that:

$X_{ij} = 1$ , if edge  $(i, j)$  crosses the cut.

$X_{ij} = 0$ , otherwise.

- Let  $Z$  be a random variable, which is equal to the number of edges that cross the cut.

- By definition: 
$$Z = \sum_{(i,j) \in E} X_j$$



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  - This happens with probability *1/2.*

$$E[Z] = \sum_{(i,j) \in E} \mathbf{Pr}[\text{edge } (i, j) \text{ crosses the cut}] = \frac{m}{2}$$

# Comparing solutions

- Assume that you have a deterministic algorithm that has a 2-approximation for a problem, and a randomised algorithm that has a 2-approximation for the problem.

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  - What if you only cared about the approximation ratio?

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- The randomised algorithm works well *in expectation*.
- The deterministic algorithm works well *always*.
- What if things go horribly wrong?

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  - **Next lecture!**